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# SECURITIES AND EXCHANGE COMMISSION

### SEC FORM 17-C

# CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1.	21 October 20:	<u>22</u>		
	Date of Report	Date of earliest	event	reported)

- 2. SEC Identification Number CS201627300 3. BIR Tax Identification No. 009-468-103
- 4. Solar Philippines Nueva Ecija Corporation

  Exact name of issuer as specified in its charter
- 5. <u>Metro Manila, Philippines</u> Province, country, or other jurisdiction of incorporation

(SEC Use Only)
Industry Classification Code:

7. 20th Floor PhilamLife Tower, 8767 Paseo de Roxas, Barangay Bel-Air, Makati City

1226, Philippines

Address of principal office

Postal Code

- 8. (02) 8817-2585 Issuer's telephone number, including area code
- Not Applicable
   Former name or former address, if changed since last report
- 10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding

Common

8,124,350,005\*

\*Note: The Company's total issued and outstanding capital stock is 10,000,000,000 common shares. Out of the 10,000,000,000 common shares, only 8,124,350,005 common shares are registered with the Securities and Exchange Commission (the "Commission"). The 1,875,649,995 common shares are not registered as at the time of their issuance they were exempt from the registration requirement of the Securities Regulation Code, as evidenced by the Confirmation of Exempt Transaction issued by the Commission on 19 July 2022.

11. Indicate the item numbers reported herein:

#### Item 9. Other Events

The undersigned, on behalf of Solar Philippines Nueva Ecija Corporation (the "Company"), wishes to inform the Commission that the Philippine Stock Exchange (the "Exchange") imposed on the Company a basic fine of Fifty Thousand Philippine Pesos (P50,000.00) and

SEC Form 17-C

December 2003

a daily fine of Five Thousand Philippine Pesos (P5,000.00) for each day of non-compliance starting from 10 February 2022 until 14 February 2022 or a total monetary penalty amounting to Seventy-Five Thousand Philippine Pesos (P75,000.00) for its delayed submission to the Exchange of the List of Stockholders (PSE Disclosure Form 17-11) for the Company's Special Stockholders' Meeting held on 7 March 2022.

Further, the Company wishes to inform the Commission that the Company will settle the total monetary penalty no later than the end of business hours on 28 October 2022, as required by the Exchange.

[Signature page follows]

## **SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SOLAR PHILIPPINES NUEVA ECIJA CORPORATION Issuer

21 October 2022 Date

HAZEL IRIS . LAFUENTE
Assistant Corporate Secretary