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## SP New Energy Corporation SPNEC

### PSE Disclosure Form 17-7 - Statement of Changes in Beneficial Ownership of Securities *References: SRC Rule 23 and Section 17.5 of the Revised Disclosure Rules*

<b>Name of Reporting Person</b>	Mary Ann D. Ballesteros
<b>Relationship of Reporting Person to Issuer</b>	Chief Compliance Officer and Data Privacy Officer

#### Description of the Disclosure

Please find attached the SEC Form 23-B [Statement of Changes in Beneficial Ownership of Securities] of Atty. Mary Ann D. Ballesteros, the incumbent Chief Compliance Officer and Data Privacy Officer of SP NEW ENERGY CORPORATION.

#### Filed on behalf by:

<b>Name</b>	Jo Marianni Ocampo-Jalbuena
<b>Designation</b>	Corporate Secretary

# COVER SHEET

C	S	2	0	1	6	2	7	3	0	0
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S.E.C. Registration Number

[illegible]

(Company's Full Name)

R	o	c	k	w	e	l		B	u	s	i	n	e	s	s	C	e	n	t	e	r	,					
O	r	t	i	g	a	s		A	v	e	n	u	e	,		B	a	r	a	n	g	a	y				
U	g	o	n	g	,		P	a	s	i	g		C	i	t	y		1	6	0	4						

(Business Address of the Company)

**Jo Marianni P. Ocampo-Jalbuena**

Contact Person

0	9	1	7	-	5	6	0	7	3	5	1
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Company Telephone Number

**1 2**  
Month

**3 1**  
Day

Month

Day

Fiscal Year

SEC Form 23-B

Form Type

1<sup>st</sup> Monday of May each year

Regular Meeting

**Certificate of Permit to Offer Securities for Sale (Pursuant to SEC MSRD Order No. 87, Series of 2021)**

Secondary License type, if applicable

MSRD/CMD/ICTD

Dept. Requiring this Doc.

Amended Articles Number/Section
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\_\_\_\_\_

Total No. of Stockholders

Total amount of Borrowings

Domestic

Foreign

To be Accomplished by SEC Personnel concerned

[illegible]

File Number

LCU

[illegible]

Document I.D.

Cashier

\_\_\_\_\_

Remarks = Pls. use black ink for scanning purposes.

SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

☐ Check box if no longer subject  
to filing requirement

1. Name and Address of Reporting Person <b>BALLESTEROS, MARY ANN DE LA PEÑA</b>		2. Issuer Name and Trading Symbol SP New Energy Corporation (SPNEC)		7. Relationship of Reporting Person to Issuer (Check all applicable)					
(Last)	(First)	(Middle)	3. Tax Identification Number	5. Statement for Month/Year  Oct-25		<input checked="" type="checkbox"/> Director <input type="checkbox"/> Officer (give title below)	<input type="checkbox"/> 10% Owner <input type="checkbox"/> Other (specify below)		
(Street)			4. Citizenship	6. If Amendment, Date of Original (Month/Year)  N.A.		Chief Compliance Officer and Data Privacy Officer			
(City)			(Province)			(Postal Code)			
Table 1 - Equity Securities Beneficially Owned									
1. Class of Equity Security		2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
						%	Number of Shares		
			Amount	(A) or (D)	Price				
Common - Beginning		-	-	-	-	0.00%	500,000	I	Through PCD Nominee Corporation
Common		10/14/2025	PhP105,300.00	A	PhP1.17	0.00%	90,000	I	Through PCD Nominee Corporation
Common - Ending		-	-	-	-	0.00%	590,000	I	Through PCD Nominee Corporation

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
- (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
- (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

FORM 23-B (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Owner-ship Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

Explanation of Responses: N.A.

N.A.  
Date

Note: File three (3) copies of this form, one of which must be manually signed.  
Attach additional sheets if space provided is insufficient.

**DISCLOSURE REQUIREMENTS  
IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP  
(50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)**

**Item 1. Security and Issuer**  
N.A.

**Item 2. Identity and Background**

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name: **N.A.**
- b. Residence or business address: **N.A.**
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted: **N.A.**
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case: **N.A.**
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking: **N.A.**
- f. Citizenship: **N.A.**

**Item 3. Purpose of Transaction**  
N.A.

**Item 4. Interest in Securities of the Issuer**  
N.A.

**Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer**  
N.A.

**Item 6. Material to be Filed as Exhibits**  
N.A.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This Report is signed in Pasig City, Philippines on 23 October 2025.

By:   
(Signature of Reporting Person)

**MARY ANN D. BALLESTEROS**  
Chief Compliance Officer and Data Privacy Officer